PTO/SB/05 (11-00)

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UTILITY PATENT APPLICATION **TRANSMITTAL**

Attorney Docket No. 020618-000120US Hageman, Gregory S. First Inventor THERAPEUTICS AND DIAGNOSTICS FOR OCULAR ABNORMALITIES Title

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(Only for new nonprovisiona	l applications under 37 C.F.R. § 1.53(b)) E	Express Mail L	_abel No.	EL474299089US		200		
	ICATION ELEMENTS erning design patent application conte	nts.	ADDRESS TO Assistant Commissioner for Patents Box Patent Application Washington, DC 20231						
1. A Fee Transmitta (Submit an origina) 2. Applicant claim See 37 CFR 1 3. Specification (preferred arrange) - Descriptive title of the constant of the con	I Form (e.g., PTO/SB/17) al and a duplicate for fee processing) s small entity status. 27. (Total Pages ement set forth below) of the Invention set to Related Applications rding Fed sponsored R & D] ,	7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. paper number of pages						
a computer prog	quence listing, a table, or ram listing appendix	c. Statements verifying identity of above copies ACCOMPANYING APPLICATIONS PARTS							
 Detailed Descrip Claim(s) 	if the Invention of the Drawings (if filed) tion		9. 🗌 10. 🗍	37 C.F.R.	ent Papers (cove .§3.73(b)Statemere is an assigne))		
- Abstract of the D	isclosure	_	11.	English T	ranslation Docu	ment (if applicable)			
 4. Drawing(s) (35 to 5) 5. Oath or Declaration a Newly execut 	J.S.C.113) [Total Sheets 4 [Total Pages ed (original or copy)]]	12. 🗌	Statement (IDS)/PTO-1449 Citations					
= :	orior application (37 CFR 1.63 (d))		14. 🛛	14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)					
i. DELETION Signed statement named in the p 1.63(d)(2) and	• •	eted)	15. 🔲 16. 🗍	 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent 					
6. Application Data Sheet. See 37 CFR 1.76									
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No: 09/430,195 Prior application information: Examiner S. Turner Group Art Unit: 1647 For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, rom which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.									
	19. CORRI	SPON	DENCE AL	DDRESS					
☐ Customer Number or Bar Code Label 20350 or Correspondence address below (Insert Customer No. or Attach bar code label here)									
Name		-							
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Name (Print/Type)	Hugh Wang		Registration No. (Attorney/Agent) 47,163						
Signature	97/17	100	<u>~</u>		Date	November 8, 2001			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231 PA 3182630 v1

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FFF TDANIONITTAL	Complete if Known					
FEE TRANSMITTAL	Application Number	Not yet assigned				
for FY 2001	Filing Date	November 8, 2001				
	First Named Inventor	Hageman, Gregory S.				
Patent fees are subject to annual revision.	Examiner Name	Not yet assigned				
	Group Art Unit	Not yet assigned				
TOTAL AMOUNT OF PAYMENT (\$) 496	Attorney Docket No.	020618-000120US				

TOTAL AMOUNT OF PAYMENT (\$) 496						496	Attorn	Attorney Docket No. 020618			18-000120US	
METHOD OF PAYMENT					T	FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge							3. ADI	3. ADDITIONAL FEES				
1. indicated fees and credit any over payments to:					Large	Entity	Small	Entity				
	Deposit		Γ				Fee	Fee	Fee	Fee	Fee Description	Fee
	Account 20-1430				Code 105	(\$) 130	Code 205	(\$) 65	Surcharge - late filing fee or oath	Paid		
Number (127	50	227	25	Surcharge - late provisional filing fee				
Danasit							1	00			or cover sheet.	
Deposit Account Townsend and Townsend and Crew LLP					139	130	139	130	Non-English specification			
Account Townsons and Townsons and Oren EL							147	2,520	147	2,520	For filing a request for reexamination	
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17							112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
			aims smal R 1.27	II entity	status.		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2.	☐ Pay	ymen	t Enclose	ed:			115	110	215	55	Extension for reply within first month	
☐ Check ☐ Credit card ☐ Money ☐ Other							116	400	216	200	Extension for reply within second month	
					Order		117	920	217	460	Extension for reply within third month	
1.	BASI	C FILI	ING FEE	EE CA	LCULATION		118	1,440	218	720	Extension for reply within fourth month	
Lar		ity S		ntity			128	1,960	228	980	Extension for reply within fifth month	
Fee			ee Fe		ee Description		119	320	219	160	Notice of Appeal	
Cod	***		ode (\$			Fee Paid	120	320	220	160	Filing a brief in support of an appeal	
101	740	20			Itility filing fee	370	121	280	221	140	Request for oral hearing	
106 107	330 510	20	06 16 07 25		Design filing fee Plant filing fee		138	1,510	138	1,510	Petition to institute a public use proceeding	
108	740	20	08 37	70 F	Reissue filing fee	,	140	110	240	55	Petition to revive - unavoidable	
114	160	2	14 80) F	Provisional filing	fee	141	1,280	241	640	Petition to revive - unintentional	
						(4)070	142	1,280	242	640	Utility issue fee (or reissue)	L
			SUB	TOTAL	. (1)	(\$)370	143	460	243	230	Design issue fee	
2. 1	EXTRA C	LAIM	FEES			 	144	620	244	310	Plant issue fee	
						e from Fee	122	130	122	130	Petitions to the Commissioner	L
Claims below Paid Total Claims 20 -20** = 0 X \$9 = \$0							123	50	123	50	Petitions related to provisional applications	
Indep Claim	endent s	6	-3**	= 3	x \$	42 = \$126	126	180	126	180	Submission of Information Disclosure Stmt	
Multip Deper			_	<u> </u>	x	=	581	40	581	40	Recording each patent assignment per property (times number of properties)	
Lar. Fee	-	-	Small Fee	Entity Fee			146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
Cod	le (\$	5)	Code	(\$)	Fee Descript		149	740	249	370	For each additional invention to be	
103			203	9	Claims in exc						examined (37 CFR § 1.129(b))	
102		-	202	42		claims in excess of 3	179	740	279	370	Request for Continued Examination (RCE)	
104			204	140 42	** Reissue inc	ndent claim, if not paid lependent claims over	169	900	169	900	Request for expedited examination	
				9	original paten ** Reissue cla	t nims in excess of 20 and	Other fe	e (specify	y)		of a design application	
110	13	9	210	y	over original p		1	The Commissioner is authorized to charge any additional fees to				
SUBTOTAL (2) (\$)126					the above noted Deposit Account.							
**or number previously paid, if greater; For Reissues, see above						ed by Ba	sic Filin	g Fee Pa	aid SUBTOTAL (3) (\$)			

SUBMITTED BY Complete (if applicable)								
Name (Print/Type)	Hugh Wang	Registration No. (Attorney/Agent)	47,163	Telephone	650-326-2400			
Signature	d	MAN		Date	November 8, 2001			

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